



FORM FOR REPORTING A BREACH OF DUE DILIGENCE OBLIGATIONS (LkSG)

All fields with an * are required fields and must be completed.

1. Reporting category*

- Breach of obligations concerning human rights
- Breach of obligations concerning the environment

2. Type of breach*

Matters concerning human rights

- Child labour, forced labour, slavery
- Failure to comply with occupational safety and health obligations
- Unequal treatment
- Failure to respect the freedom of association
- Withholding of adequate wages
- Unlawful evictions and the unlawful taking of land, forests, waters
- Harmful pollution of soil, water and air, excessive water consumption, harmful noise emission
- Hiring and use of security forces in violation of human rights

Matters concerning the environment

- Handling, collection, storage and disposal of waste in a manner that is not environmentally sound
- Import and export of hazardous waste
- Production and use of persistent organic pollutants
- Manufacture of mercury-added products, use of mercury and mercury compounds, treatment of mercury waste
- Miscellaneous

3. Where did the breach occur?*

4. Description of the event

5. When did the breach occur? (Date and time)*

6. Who was/is involved in the event?*

7. Who can provide information regarding the facts?*

8. Consent to being contacted, disclosure of name, and anonymity

**a. May we contact you for the purposes of reviewing your submission?
(you are not agreeing to the disclosure of your name to third parties in this context, cf. 8.b below)?**

- Yes, you may contact me using the following contact information:
- No, I do not wish to be contacted

b. May your name be provided to the supplier in the context of processing your submission?

- Yes
- No

I have taken note of the Data Protection Regulations.*

Please send the form to compliance@asklepios.com or by regular post to:

Asklepios Kliniken GmbH & Co.KGaA
Konzernbereich Recht, Versicherungen & Compliance
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22307 Hamburg, Germany